

04/15/99  
jc649 U.S. PTO

430 Rec'd PCT/PTO 15 APR 1999

PAT

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

09/284684

Attorney's Docket Number: 1620/3

U.S. Application No. \_\_\_\_\_

International Application No.: PCT/GB97/02863  
Priority Date Claimed: October 17, 1996  
Title of Invention: VITAMIN DELIVERY  
Applicant: PLUMMER, Nigel, Terrence


International Filing Date: October 17, 1997  
Express Mail Label No.: EL121024606US

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. XX This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.     This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. XX This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. XX A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.  
XX A copy of the International Application filed (35 U.S.C. 371 (c)(2)).
  - a.     is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. X has been transmitted by the International Bureau.
  - c.     is not required, as the application was filed in the United States Receiving Office (RO/US).A translation of the International Application into English (35 U.S.C. 371(c)(2)).  
XX Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
  - a. XX are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.     have been transmitted by the International Bureau
  - c.     have not been made; however, the time limit for making such amendments has NOT expired.
  - d.     have not been made and will not be made.A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  
XX A **COPY** of an Oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**unsigned**)  
    A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11.     An Information Disclosure statement under 37 C.F.R. 1.97 and 1.98.
12.     An Assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13. XX A **FIRST** Preliminary Amendment.  
    A **SECOND** or **SUBSEQUENT** Preliminary Amendment.
14.     A substitute specification.
15.     A change of Power of Attorney and/or address letter.
16. XX Other items or information: **IEPR (copy)**

17. XX The following fees are  hitted:

CALCULATIONS

**Basic National Fee (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO and JPO	\$ 840.00	\$	840.00
International preliminary examination fee paid to U.S. P.T.O. (37 C.F.R. 1.482)	\$ 720.00	\$	
No International preliminary examination fee paid to U.S. P.T.O. (37 C.F.R. 1.482) but international search fee paid to U.S. P.T.O. (37 C.F.R. 1.445(a)(2))	\$ 790.00	\$	
Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to U.S. P.T.O	\$1,070.00	\$	
International preliminary examination fee paid to U.S. P.T.O. (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)	\$ 98.00	\$	

**ENTER APPROPRIATE BASIC FEE AMOUNT** \$ 840.00

Surcharge of \$130.00 for furnishing the oath or declaration later than    20 X 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). \$ 130.00

Claims	Number Filed	Number Extra	Rate		
Total Claims	20	-20	0	x 18.00	\$ 0.00
Independent Claims	2	-3	0	x 78.00	\$ 0.00
Multiple Dependent claim(s) (if applicable)				x 270.00	\$ 0.00

**TOTAL OF ABOVE CALCULATIONS:** \$ 970.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28) \$ 485.00

**(Applicant entitled to SE status - Verified Statment to follow under separate cover.)**  
**SUBTOTAL:** \$ 485.00

Processing fee of \$130.00 for furnishing the English translation later the    20    30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)). \$

**TOTAL NATIONAL FEE:** \$ 485.00

Fee recording the enclosed Assignment (37 C.F.R. 1.21(h)). The Assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property \$

**TOTAL FEE ENCLOSED:** \$ 485.00

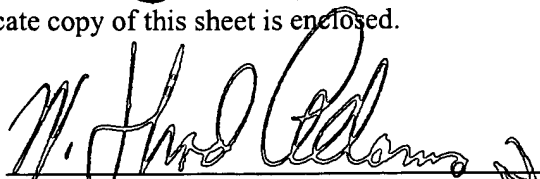
a. XX A check in the amount of \$ 485.00 to cover the above fees is enclosed.

b.    Please charge my Deposit Account No. 01-0265 in the amount of \$            to cover the above fees. A duplicate copy of this sheet is enclosed.

c. XX The Commissioner is hereby authorized to charge any additional [redacted] which may be required, or credit any overpayment to Deposit Account No. 01-0265. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

ADAMS LAW FIRM, P.A.  
2180 First Union Plaza  
Charlotte, North Carolina 28282  
(704) 375-9249

  
Signature

W. Thad Adams, III Reg. No. 29,037

Name and Registration No.

Date: April 15, 1999

662750-48948260